MIKE BEEBE
GOVERNOR

A. HEATH ABSHURE
COMMISSIONER

HERITAGE WEST BUILDING, SUITE 300
201 EAST MARKHAM STREET
LITTLE ROCK, ARKANSAS 72201-1692
TELEPHONE: (501) 324-9260
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ARKANSAS SECURITIES DEPARTMENT

ARKANSAS MONEY SERVICES
ANNUAL RENEWAL REPORT

Name of Company as Licensed in Arkansas: _____

Main Office Address: _____

Arkansas License Number: _____ Date Submitted: _____

Type of Activity Conducted: ☐ Money Transmission ☐ Currency Exchange

1. Money Transmission and Currency Exchange Activity in Arkansas *(Answer every question or write "N/A" if not applicable)* **Reporting Period _____ to _____** *(Same as annual license period)*		
a	Total dollar amount of payment instruments and stored-value transmitted in last reporting year	$
b	Number of payment instruments and stored-value sold in last reporting year	
c	Total dollar amount of currency exchanges transacted in last reporting year	$
d	Number of currency exchanges transacted in last reporting year	

2. Annual Assessment Fee	
$750.00	Made payable to the Arkansas Securities Department

3. List of Authorized Delegates

Submit a list of authorized delegates, which includes each authorized delegateôs name, physical address, contact person, and phone number. Number each entry in the list and sort alphabetically by authorized delegate name. Authorized delegates include all outlets and licensee-owned branches.

☐ I have no authorized delegates located in the State of Arkansas.

☐ I have included a list of all locations of the authorized delegates in the State of Arkansas. (MS Excel file preferred)

4. Material Changes

Material changes to licensee information include, but are not limited to, changes in the licensee name or fictitious name, ownership, physical or mailing address, records location, phone or fax numbers, responsible individuals or registered agents; obtaining, revocation, or surrender of a money services license in any other jurisdiction; conviction of the licensee, an executive officer, responsible individual, board director, principal, or person in control of a felony or misdemeanor.

☐ No material changes to report

ARKANSAS MONEY SERVICES
ANNUAL RENEWAL REPORT

Name of Company as Licensed in Arkansas: _____ AR License Number: _____

5. Financial Crimes Enforcement Network (FinCEN) Registration or Renewal

Has the licensee registered as a money services business with FinCEN?

☐ No ☐ Yes Date of initial registration: _____ (mm/dd/yyyy)

If you answered ñnoò to the above question, register and submit copies of the registration documents with this report or provide a written response as to why licensee is not required to register.

☐ I have renewed or will renew my registration. Date of last renewal: _____ (mm/dd/yyyy)

6. Anti-Money Laundering Program

Has the licensee submitted a current version of its anti-money laundering program to the Arkansas Securities Department?

☐ No ☐ Yes

If no, submit a current copy of the licenseeôs anti-money laundering program with this annual report.

7. Financial Statements

☐ Money transmissionï audited financial statements for most recent fiscal year. _____ (mm/dd/yyyy)

☐ Currency exchange ï financial statements for the most recent fiscal year certified by a representative of the licensee. _____ (mm/dd/yyyy)

Surety Bond (N/A for Currency Exchange License)

☐ Continuation Certificate

☐ Rider increasing the surety bond amount

9. Permissible Investments (N/A for Currency Exchange License)

☐ Enclose a list of permissible investments as defined in Ark. Code Ann. § 23-55-702 and the percentage held for each type of investment as of the most recent quarter.

☐ Certify that the licensee continues to maintain permissible investments according to the requirements set forth in Ark. Code Ann. § 23-55-701 and § 23-55-702.

☐ Provide aggregate amount of all outstanding payment instruments and stored value obligations per Ark. Code Ann. § 23-55-701(a) as of the most recent quarter. Quarter Ended :_____ Amount: $_____

ARKANSAS MONEY SERVICES
ANNUAL RENEWAL REPORT
CERTIFICATION OF PERMISSIBLE INVESTMENTS

Name of Company as Licensed in Arkansas: _____ AR License Number: _____

I hereby certify, under the penalty of perjury, that I understand the permissible investment requirements as set forth in Ark. Code Ann. § 23-55-701 and § 23-55-702 of the Arkansas Uniform Money Services Act and that _____ _____ has and will maintain the required amount of permissible investments.
Type or print company name as licensed

Certified by: _____ Date: _____
Authorized Signature

_____
Printed Name

_____
Title

STATE OR COMMONWEALTH OF _____

COUNTY OR PARISH OF _____

_____ personally came and appeared before me, the undersigned
(authorized person above)
notary, and declared under oath that he/she is the _____of
(Title)
_____, that he/she is authorized to sign and submit the attached
(Name of Company)
application, and that all statements and representations made therein are true and correct to the best of

his/her knowledge, information, and belief.

Sworn and subscribed to before me on this _____ day of _____ 20_____.

_____
Notary Public

_____
Print Name of Notary Public

(Seal)

My commission expires:_____

ARKANSAS MONEY SERVICES
ANNUAL RENEWAL REPORT

Name of Company as Licensed in Arkansas: _____ AR License Number: _____

AFFIDAVIT OF AUTHORIZED PERSON:

I, _____ the undersigned being the _____
Type or print name of authorized person *Title of authorized person*

of _____ , an Arkansas licensed money transmitter/currency exchanger,
Type or print company name as licensed

hereby certify under penalty of perjury that I have examined the information contained in this Annual Renewal Report, and the attached supporting documents (including any accompanying schedules and statements, if any), and the reported information is correct and complete in accordance with the law. I further acknowledge that there are no misrepresentations or omissions of material facts.

_____ _____
Signature of Authorized Person *Date*

STATE OR COMMONWEALTH OF _____

COUNTY OR PARISH OF _____

_____ personally came and appeared before me, the undersigned
(authorized person above)
notary, and declared under oath that he/she is the _____of
(Title)
_____, that he/she is authorized to sign and submit the attached
(Name of Company)
application, and that all statements and representations made therein are true and correct to the best of his/her knowledge, information, and belief.

Sworn and subscribed to before me on this _____ day of _____ 20_____.

_____
Notary Public

_____
Print Name of Notary Public

(Seal)

My commission expires:_____